#### **Investor Complaint Data (For Stock Broker)**

### Data for month ended on September, 2025

Sr. No	Received From	Carried forward from previous month	Received during the month	I Otal	Resolved*	Pending at the end of the month**		Average resolution on time^ (In Days)
						Pending for less than 3 month	Pending for more than 3 month	· · · · · · · · · · · · · · · · · · ·
1	Directly from Investors	-	-	-	-	-	-	-
2	SEBI (Scores 2.0)	-	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-	-
4	Other Sources (If Any)	-	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-	-

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### **Investor Complaint Data (Stock Broker)**

## Trend of monthly disposal of complaints

Sr. No.	Month	Carried Forward from previous	Received	Resolved*	Pending**
4	A = = O =	month			
1	Apr-25	-	-	-	-
2	May-25	-	-	-	-
3	Jun-25	-	-	-	-
4	Jul-25	-	-	-	-
5	Aug-25	-	-	-	-
6	Sep-25	-	-	-	-
7	Oct-25	-	-	-	-
8	Nov-25	-	-	-	-
9	Dec-25	-	-	-	-
10	Jan-26	-	-	-	-
11	Feb-26	-	-	-	-
12	March-26	-	-	-	-
	Grand T	otal	0	0	0

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

# Trend of annual disposal of complaints

		Carried	Received	Resolved	Pending at
Sr. No.	Year	Forward from	during the	during the	The end
		previous year	year	year	ofthe
					year
1	2017-18	•	1	1	0
2	2018-19	-	-	-	-
3	2019-20	•	2	2	0
4	2020-21	-	1	1	0
5	2021-22	-	2	2	-
6	2022-23	-	1	1	0
7	2023-24	-	0	0	0
8	2024-25	-	0	0	0
	Grand T	otal	7	7	0

<sup>\*\*</sup>Should include total complaint spending as on the last day of the month, if any.